



Sector #3  
\$

Attorney Docket # 4100-178

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Harald NAUNHEIMER et al.

Serial No.: 09/430,943

Filed: November 01, 1999

For: Electromechanical Drive For Track-Laying  
Vehicles

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

December 10, 1999  
(Date of Deposit)

Thomas C. Pontani

Name of applicant, assignee or Registered Representative

*[Signature]*

Signature

December 10, 1999

Date of Signature

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED

Assistant Commissioner for Patents  
Washington, D.C. 20231

S I R:

In order to complete the filing of missing parts for the above-identified application,  
applicant(s) submit(s) herewith the following:

- ☒ Executed Declaration and Power of Attorney (attached to a copy of the application as originally filed). The application filed in the U.S. Patent and Trademark Office is the application which the inventor(s) executed by signing the enclosed Declaration.
- ☒ Check in the amount of \$130.00 to cover the late filing of the Declaration and Power of Attorney, as required by 37 CFR 1.16(e).
- ☐ Small Entity Statement
- ☐ Letter transmitting Priority Document
- ☐ Priority Documents No(s). 198 50 606.6.
- ☒ Assignment of the invention to **Renk Aktiengesellschaft**
- ☒ Recordation cover sheet - PTO Form 1595
- ☒ Check in the amount of \$40.00 to cover the recordation fee
- ☐ Verified English translation

- ☐ Check in the amount of \$130 to cover the fee for late submission of English translation, as required under 37 CFR 1.17(k)
- ☒ Copy of form PTO 1533

If an extension of time to respond to this Notice To File Missing Parts of Application is required, then this paper also constitutes an express request for such an extension of time.

In the event that any additional fees or charges are deemed necessary in connection with the application at the present time, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By: \_\_\_\_\_



Thomas C. Pontani  
Reg. No. 29,763  
551 Fifth Avenue  
New York, New York 10176  
(212) 687-2770

Dated: 10 December 1999



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/430,943 11/01/99 NAUTHEIMER

H 4100-178

0222/1201

THOMAS C. PONTANI ESQ.  
COHEN PONTANI LIEBERMAN & PAVANE  
551 FIFTH AVENUE SUITE 1210  
NEW YORK NY 10176

NOT ASSIGNED

3611

DATE MAILED:

12/01/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

- ☐ 1. The statutory/basic filing fee is:
- ☐ missing.
  - ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47 CFR 1.4.

Applicant must file a verified English translation of the application, in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration.

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.  
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to: "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

B. DAVENPORT

Customer Service Center  
Initial Patent Examination Division (703) 308-1202